

MAY 07 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,317	01/24/2002	Philip J. Hopkinson	MON-30 (000401 P 00281)

CONFIRMATION NO. 3308

## FORMALITIES LETTER



\*OC000000007526488\*

Wallenstein & Wagner, Ltd.  
311 S. Wacker Drive, 53rd Floor  
Chicago, IL 60606-6630

TO DOCKETING

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$228.
  - \$144 for 8 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1098.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10057317

193875

05/08/2002 YPOLITE1 00000079

130.00 CH  
740.00 CH  
84.00 CH  
144.00 CH

01 FC:105  
02 FC:101  
03 FC:102  
04 FC:103

COPY OF PAPERS  
ORIGINALLY FILED



PATENT  
ATTY DOCKET NO.: MON-30

MPD #3

**CERTIFICATE OF MAILING**

I do hereby certify that this correspondence is being deposited with the United States Postal Service on April 25, 2002 as First Class Mail, postage prepaid in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner For Patents, Washington, D.C. 20231.

*Karen Pyka*  
Karen Pyka

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
HOPKINSON et al.	)	Group Art:
	)	2832
	)	
Serial No. 10/057,317	)	Batch No.
	)	Unknown
Filed: January 24, 2002	)	
	)	Examiner:
Title: NON-ENERGY LIMITING	)	Unknown
CLASS 2 TRANSFORMER	)	
WITH POSITIVE	)	
TEMPERATURE PROTECTION	)	

COPY OF PAPERS  
ORIGINALLY FILED

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated February 25, 2002, in the above-identified patent application, enclosed is an executed Declaration and Power of Attorney of the inventors of record, Philip J. Hopkinson, Alan D. Neal, Keith E. Seifried, Michel A. Rapeaux and Gary T. Jones. The requisite copy of the Notice is enclosed herewith.

Please charge Deposit Account 19-3875 (MON-30) in the amount of \$130.00 to cover the filing of this Response. Please charge the above deposit account for any additional fees necessary at this time or credit it for any overpayments.

Additionally, please send us an updated, corrected filing receipt correcting the Inventorship as follows:

Change from:

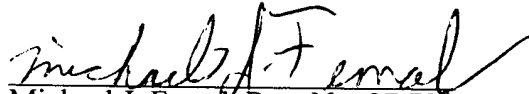
"Philip J. Hopkinson, Residence Not Provided  
Alan Neal, Residence Not Provided  
Keith Seifried, Residence Not Provided  
Michel Rapeaux, Residence Not Provided  
William W. Weizhong Chen, Residence Not Provided"

To:

--Philip J. Hopkinson, Charlotte, NC  
Alan D. Neal, Morrisville, NC  
Keith E. Seifried, Knightdale, NC  
Michel A. Rapeaux, Cedar Rapids, IA  
Gary T. Jones, Antioch, TN--

Signed at Palatine, Cook County, Illinois, this 25th day of April, 2002.

Respectfully submitted,

  
Michael J. Femal, Reg. No. 27,784  
Attorney for Applicants

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